

FORM 1
INDIVIDUAL ESTATE PROPERTY RECORD AND REPORT
ASSET CASES

Case No: 23-01992 PWM Judge: Pamela W. McAfee Trustee Name: George F. Sanderson Trustee
Case Name: 9 Meters Biopharma, Inc Date Filed (f) or Converted (c): 07/17/2023 (f)
341(a) Meeting Date: 08/21/2023
For Period Ending: 12/31/2023 Claims Bar Date: 10/21/2023

1	2	3	4	5	6
Asset Description (Scheduled and Unscheduled (u) Property)	Petition/ Unscheduled Values	Est Net Value (Value Determined by Trustee, Less Liens, Exemptions, and Other Costs)	Property Formally Abandoned OA=554(a) DA=554(c)	Sale/Funds Received by the Estate	Asset Fully Administered (FA)/ Gross Value of Remaining Assets
1. 3.1 Checking Wells Fargo Ending 5815	3,008.14	3,008.14		3,008.14	FA
2. 3.2 Money Market Wells Fargo Ending 1358	0.00	0.00		0.00	FA
3. 3.3 Wells Fargo Securities LLC Acct Ending 7871	0.00	0.00		0.00	FA
4. 3.4 Cert of Deposit Wells Fargo Ending 0218	149,097.89	149,097.89		0.00	149,097.89
5. 11a. A/R 90 days \$443,789.61 - 72,000 uncollectibl	443,789.61	371,789.61		0.00	371,789.61
6. 15.1 Naia Rare Diseases LLC (value in item 64)	0.00	0.00		0.00	0.00
7. 15.2 RDD Pharma Ltd. (value included in item 64)	0.00	0.00		0.00	0.00
8. 39. Office furniture	38,767.50	38,767.50	OA	0.00	FA
9. 41. Computer equipment	5,241.28	5,241.28		0.00	5,241.28
10. 41. Leasehold improvements	21,700.81	21,700.81	OA	0.00	FA
11. 41. PP&E - RDD Pharma	2,884.44	2,884.44		0.00	2,884.44
12. 60. Patents; value included in item 64	0.00	0.00		0.00	0.00
13. 62. Licenses; value included in item 64	0.00	0.00		0.00	0.00
14. 64. Patents, licenses, sub-licenses, trademarks...	4,000,000.00	7,050,000.00		0.00	7,050,000.00
15. 73. Extended reporting period under D&O policy	0.00	0.00		0.00	0.00
16. 8.1 Prepaid expenses - may be subj to setoff	0.00	0.00		0.00	0.00
17. 7.1 Glenwood lease deposit	0.00	0.00		0.00	0.00
18. Massachusetts 2022 Tax Refund	0.00	166.00		166.00	0.00
19. State of New Hampshire Tax Refund	0.00	2,449.00		2,449.00	0.00

Gross Value of Remaining Assets

TOTALS (Excluding Unknown Values) \$4,664,489.67 \$7,645,104.67 \$5,623.14 \$7,579,013.22
(Total Dollar Amount in Column 6)

Major activities affecting case closing which are not reflected above, and matters pending, date of hearing or sale, and other action:

9/30/2023

1. Trustee in active negotiations to sell various intellectual property assets of the Debtor through a public auction process. Trustee anticipates filing one or motions to approve bidding procedures.

12/31/2023

1. Trustee successfully closed on sale of IP assets in January, 2024. Trustee in the process of reviewing claims.

Initial Projected Date of Final Report (TFR): 12/31/2024

Current Projected Date of Final Report (TFR): 12/31/2024

Trustee Signature: /s/ George F. Sanderson Trustee

Date: 01/30/2024

George F. Sanderson Trustee
P.O. Box 6130
Raleigh, NC 27628
(984)867-9300

FORM 2

ESTATE CASH RECEIPTS AND DISBURSEMENTS RECORD

Case No: 23-01992

Trustee Name: George F. Sanderson Trustee

Case Name: 9 Meters Biopharma, Inc

Bank Name: Axos Bank

Account Number/CD#: XXXXXX0065

Checking

Taxpayer ID No: XX-XXX8465

Blanket Bond (per case limit):

For Period Ending: 12/31/2023

Separate Bond (if applicable):

1	2	3	4		5	6	7
Transaction Date	Check or Reference	Paid To / Received From	Description of Transaction	Uniform Tran. Code	Deposits (\$)	Disbursements (\$)	Account/CD Balance (\$)
			Balance Forward				\$0.00
08/29/23		Transfer from Acct # xxxxxx0842	Transfer of Funds	9999-000	\$3,008.14		\$3,008.14
10/30/23	18	Commonwealth of Massachusetts Department of Revenue P.O. Box 5983 Springfield, MA 01101	Tax Refund	1124-000	\$166.00		\$3,174.14
11/14/23	19	State of New Hampshire Office of State Treasurer 25 Capitol Street--Room 121 Concord, NH 03301	Tax Refund	1124-000	\$2,449.00		\$5,623.14

COLUMN TOTALS	\$5,623.14	\$0.00
Less: Bank Transfers/CD's	\$3,008.14	\$0.00
Subtotal	\$2,615.00	\$0.00
Less: Payments to Debtors	\$0.00	\$0.00
Net	\$2,615.00	\$0.00

Page Subtotals:

\$5,623.14

\$0.00

FORM 2

ESTATE CASH RECEIPTS AND DISBURSEMENTS RECORD

Case No: 23-01992
Case Name: 9 Meters Biopharma, Inc

Trustee Name: George F. Sanderson Trustee
Bank Name: Axos Bank
Account Number/CD#: XXXXXX0842
Checking

Taxpayer ID No: XX-XXX8465
For Period Ending: 12/31/2023

Blanket Bond (per case limit):
Separate Bond (if applicable):

1	2	3	4		5	6	7
Transaction Date	Check or Reference	Paid To / Received From	Description of Transaction	Uniform Tran. Code	Deposits (\$)	Disbursements (\$)	Account/CD Balance (\$)
			Balance Forward				\$0.00
08/15/23	1	WELLS FARGO BANK, NA PO Box 5131 Souix Falls, SD 57117-5131	Bank Account Balance	1129-000	\$3,008.14		\$3,008.14
08/29/23		Transfer to Acct # xxxxxx0065	Transfer of Funds	9999-000		\$3,008.14	\$0.00

COLUMN TOTALS	\$3,008.14	\$3,008.14
Less: Bank Transfers/CD's	\$0.00	\$3,008.14
Subtotal	\$3,008.14	\$0.00
Less: Payments to Debtors	\$0.00	\$0.00
Net	\$3,008.14	\$0.00

TOTAL OF ALL ACCOUNTS			
	NET DEPOSITS	NET DISBURSEMENTS	ACCOUNT BALANCE
XXXXXX0065 - Checking	\$2,615.00	\$0.00	\$5,623.14
XXXXXX0842 - Checking	\$3,008.14	\$0.00	\$0.00
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	\$5,623.14	\$0.00	\$5,623.14
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	(Excludes account transfers)	(Excludes payments to debtors)	Total Funds on Hand
Total Allocation Receipts:	\$0.00		
Total Net Deposits:	\$5,623.14		
Total Gross Receipts:	\$5,623.14		

Trustee Signature: /s/ George F. Sanderson Trustee Date: 01/30/2024

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